

1 Jon R. Roellke (*pro hac vice*)
2 MORGAN, LEWIS & BOCKIUS LLP
3 1111 Pennsylvania Ave. NW
4 Washington, DC 20004
Telephone: (202) 739-5754
jon.roellke@morganlewis.com

5 Geoffrey T. Holtz (SBN 191370)
6 MORGAN, LEWIS & BOCKIUS LLP
7 One Market, Spear Street Tower
8 San Francisco, CA 94105
Telephone: (415) 442-1414
geoffrey.holtz@morganlewis.com

9 Attorneys for Defendants Sumitomo Mitsui Banking
10 Corporation, SMBC Capital Markets, Inc., Sumitomo
11 Mitsui Financial Group, Inc., and Sumitomo Mitsui
Banking Corporation Europe Ltd. (*n/k/a* SMBC Bank
International plc)

12
13 UNITED STATES DISTRICT COURT
14 FOR THE NORTHERN DISTRICT OF CALIFORNIA

15 LISA MCCARTHY *et al.*,

16 Plaintiffs,

17 v.

18 INTERCONTINENTAL EXCHANGE, INC. *et*
19 *al.*,

20 Defendants.
21
22
23
24
25
26
27
28

Case No. 3:20-cv-05832-JD

**NOTICE OF APPEARANCE ON
BEHALF OF DEFENDANTS
SUMITOMO MITSUI FINANCIAL
GROUP, INC. AND SUMITOMO MITSUI
BANKING CORPORATION EUROPE
LTD**

Judge: Hon. James Donato

**TO THE CLERK OF COURT AND ALL PARTIES AND THEIR ATTORNEYS OF
RECORD:**

PLEASE TAKE NOTICE of the appearance of Jon R. Roellke and Geoffrey T. Holtz of Morgan Lewis & Bockius, LLP as counsel of record for and on behalf of Defendants Sumitomo Mitsui Financial Group, Inc. (“SMFG”) and Sumitomo Mitsui Banking Corporation Europe Ltd (now known as SMBC Bank International plc) (“SMBC BI”).¹

Jon R. Roellke
MORGAN, LEWIS & BOCKIUS LLP
1111 Pennsylvania Ave. NW
Washington, DC 20004
Telephone: (202) 739-5754
jon.roellke@morganlewis.com

Geoffrey T. Holtz (SBN 191370)
MORGAN, LEWIS & BOCKIUS LLP
One Market, Spear Street Tower
San Francisco, CA 94105
Telephone: (415) 442-1414
geoffrey.holtz@morganlewis.com

Mr. Roellke has been admitted *pro hac vice* by the Court in this action (ECF No. 85) and Mr. Holtz is licensed to practice law in the State of California, is a member in good standing with the California State Bar, and is admitted to practice law in the United States District Court for the Northern District of California. Counsel request that they be added to the service list as counsel of record for SMFG and SMBC BI and that they be served with copies of all pleadings and notices in the above captioned matter.²

¹ SMFG and SMBC BI expressly reserve and do not waive their rights to bring motions pursuant to Fed R. Civ. P. 12(b)(2) to challenge personal jurisdiction, and will promptly bring any such motion should the Court decline to transfer this action to the United States District Court for the Southern District of New York pursuant to the pending Motion to Transfer (ECF No. 34).

² Mr. Roellke and Mr. Holtz also previously entered appearances in this matter on behalf of Sumitomo Mitsui Banking Corporation (“SMBC”) (ECF No. 131) and SMBC Capital Markets, Inc. (“SMBC Capital Markets”) (ECF No. 42).

1 Dated: December 2, 2020

MORGAN, LEWIS & BOCKIUS LLP

2
3 By: /s/ Geoffrey T. Holtz

4 Geoffrey T. Holtz

5 Attorneys for Defendants
6 Sumitomo Mitsui Banking Corporation, SMBC
7 Capital Markets, Inc., Sumitomo Mitsui Financial
8 Group, Inc., and Sumitomo Mitsui Banking
9 Corporation Europe Ltd. (n/k/a SMBC Bank
10 International plc)
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28